

# JobTrax

JobTrax is a cloud-based platform that enables FilePoint's financial services clients to track the status and certain financial information included in filings submitted to the SEC through its EDGAR website. JobTrax enables clients to view draft filings in real time, manage compliance-related tasks, and track the status of certain financial filings (referred to as "jobs" in our marketing materials). The system also provides recordkeeping related to federal securities regulations by retaining a copy of all filings submitted to the SEC.

## Who JobTrax supports:



Investment advisers



Mutual fund administrators



Other entities in the investment management industry

## JobTrax offers the following benefits:

- ✓ Provides business information in the field of regulatory compliance filings; Financial recordkeeping in the fields of federal government securities regulations and state government securities regulations, investment advisory and investment company activities;
- ✓ Enables investment adviser and mutual fund clients to review and maintain records of financial information related to filings submitted with the SEC. Certain filings include financial information related to equity and foreign exchange markets, fixed income markets, and adviser-sold investments;
- ✓ Validates against the SEC's taxonomy; and
- ✓ Offers custom exception reporting based on specified business rules.



JobTrax provides Software as a service (SaaS) to assist clients in the financial assessment management industry with complying with federal regulatory requirements, supported by a team of regulatory filing professionals.

Interested in learning more? Contact us today at [jobtrax@filepoint.com](mailto:jobtrax@filepoint.com) or 919-706-4090.