

2026 FALL NEWSLETTER

A quarterly recap of the latest compliance-related news, trends, and resources

ICYMI

EDGAR Release 26.3: What Form 13F and XBRL Filers Need to Know

The SEC deployed EDGAR Release 26.3 on September 14, 2026, with two updates that could affect your next filing. The release corrects a labeling error in the Form 13F and 13F-CTR submission templates, and EDGAR no longer accepts 2024 taxonomy versions, including US GAAP/2024, SRT/2024, and IFRS/2024. Our article covers what changed, who it affects, and what to check before you file. [Click here for the full report](#) →



Recent Regulatory Updates:

Our team of regulatory experts monitors regulatory updates and new developments so you don't have to. Below are our latest updates covering recent SEC activity, exam priorities, and other relevant news. Click any headline to read the full report.



SEC's New E-Delivery Proposal: What It Means for Fund Disclosure Mailings

On July 16, 2026, the SEC proposed Regulation E-Delivery, which would make electronic delivery the default for required disclosures without prior investor consent. Investors could still opt out and request free paper copies, and the proposal would rescind Rule 30e-3. Firms could save on printing and postage but will need to update related policies and disclosures.



E-Delivery Rule Clears OIRA Review: What's Next Before It's Proposed

On June 22, 2026, the SEC sent a draft electronic delivery rule to the Office of Management and Budget for review, the final step before public comment. The effort reflects Chair Paul Atkins' goal of making digital delivery the default for fund disclosures through a technology-neutral framework.



SEC Proposes Simpler Filer Status Rules: What Public Companies Should Know

On May 19, 2026, the SEC proposed consolidating five filer statuses into two: large accelerated and nonaccelerated filers. The large accelerated filer threshold would rise from \$700 million to \$2 billion, and nonaccelerated filers would no longer need an auditor attestation on internal controls. A new "small nonaccelerated filer" category would also get extra time to file 10-Ks and 10-Qs.



SEC Proposes Proxy Solicitation Modernization: What Filers Should Know

On September 16, 2026, the SEC proposed amendments to modernize its proxy solicitation rules under Regulation 14A, aiming to reduce compliance burdens and update rules that, in many cases, haven't changed in decades. Proposed changes include eliminating the separate annual report to security holders for companies with a Form 10-K on file and shortening the minimum broker search period to five business days. Public comments are due November 20, 2026.

Stay up to date on regulatory deadlines

DOWNLOAD

2026 Regulatory Calendar

Download our 2026 Regulatory Calendar to stay up to date on regulatory deadlines and SEC filing requirements, helping you meet upcoming due dates, with confidence.

[Learn More](#) →

UPCOMING

2026 Fall Filing Preview

Fall means filing season. Here's your at-a-glance guide to the regulatory deadlines and federal holidays coming down the pike this quarter, so nothing catches your team off guard.

[Learn More](#) →

Additional Resources:

From SEC exam trends to Reg S-P and emerging technology, these related resources dig deeper into the topics shaping compliance programs this year.

ETF Website Disclosure Requirements: Three Must-Haves The SEC Staff Is Watching

SEC staff reviews of ETF websites under Rule 6c-11 found that many funds had the right data but fell short on presentation, timing, or labeling, such as missing CUSIPs, premiums shown in dollars instead of percentages, or stale historic data. The most common miss was the 2% trigger, which requires website disclosure when a premium or discount exceeds 2% for more than seven consecutive trading days. The article outlines three areas to check to keep your ETF site compliant. [Learn More](#) →

Filing Fees FAQ

Amended Regulation S-P is in full effect, and the SEC has made clear it's a top exam priority. We break down the seven most common complications to avoid delays on fee-bearing filings with our new FAQ on wiring SEC filing fees. It covers what an "insufficient fees" warning means, what happens if you file before funds arrive (filing is held for up to 24 hours before suspension), how to check your EDGAR account balance, and what details to include on your wire so the SEC can credit your payment. A downloadable PDF version is also available. [Learn More](#) →



Questions?

FilePoint can help. [Contact us](#) today to talk to a regulatory expert.

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